OIPE
APR 0 2 2004
PATENT & TRADEMARK OFFICE

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

RECEIVED
APR 0 6 2004
GROUP 3600

The references now cited are the following:

U.S. Patent Documents

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	PATENTEE
5,924,082	07/1999	Silverman et al.
5,950,176	09/1999	Keiser et al.
6,236,972 B1	05/2001	Shkedy
US 2001/0034695 A1	10/2001	Wilkinson
US 2001/0044771 A1	11/2001	Usher et al.
6,317,727 B1	11/2001	May
US 2001/0042036 A1	11/2001	Sanders
6,321,212 B1	11/2001	Lange
US 2002/0002530 A1	01/2002	May
US 2002/0016760 A1	02/2002	Pathak
6,347,307 B1	02/2002	Sandhu et al.
6,421,653 B1	07/2002	May
US 2002/0099651 A1	07/2002	May
US 2002/0116317 A1	08/2002	May
US 2002/0128955 A1	09/2002	Brady et al.
US 2002/0138390 A1	09/2002	May
US 2002/0156719 A1	10/2002	Finebaum et al.
US 2002/0169703 A1	11/2002	Lutnick et al.
US 2002/0174055 A1	11/2002	Dick et al.
US 2003/0004853 A1	01/2003	Ram et al.
6,505,174 B1	01/2003	Keiser et al.
US 2003/0023536 A1	01/2003	Hollerman et al.
US 2003/0028476 A1	02/2003	Jenkins et al.
US 2003/0033212 A1	02/2003	Sandhu et al.
US 2003/0061148 A1	03/2003	Alavian
US 2003/0083978 A1	05/2003	Brouwer
US 2003/0093360 A1	05/2003	May
US 2003/0097328 A1	05/2003	Lundberg et al.
US 2003/0101125 A1	05/2003	McGill et al.
US 2003/0115128 A1	06/2003	Lange et al.
6,618,707 B1	09/2003	Gary
US 2003/0216932 A1	11/2003	Foley
US 2003/0220868 A1	11/2003	May

Other Art References

OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)
Barron's, November 4, 1991, "Derivatives Drive New Network", Barron's, 71, 44, ABI/INFORM Global, pp. 36-37.
Domowitz, Ian, 1995, "Electronic Derivatives Exchanges: Implicit Mergers, Network Externalities, and Standardization", The Quarterly Review of Economics and Finance, Vol. 35, No. 2, Summer, 1995, pp. 163-175.

OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
JMB Senior, Adriana, June 11, 1999, "Morgan Buying Into Network for On-Line Security Trades", American Banker, New York, NY, June 11, 1999, Vol. 164, Iss. 111; p. 1, obtained at the internet address: <http://www.proquest.umi.com/pqdlink?index=26&sid=1&srchmode=3&vinst=PROD&fmt=3& st....>, March 25, 2004, 3 pages.
SwapsWire, Press Releases, April 10, 2000, "Leading derivative dealers announce SwapsWire – a pioneering interest rate derivatives electronic dealing network", obtained at the internet address: <http://www.swapswire.com/press/10_04_00.asp>, March 18, 2004, 2 pages.
Guardian Unlimited, The Guardian, April 11, 2000, "Banks plan trading network for $52 trillion derivatives", obtained at the internet address: <http://www.guardian.co.uk/business/story/0,3604,178019,00.html>, March 18, 2004, 2 pages.
Financial News Online, May 29, 2000, "Creditex Widens Access", obtained at the internet address: <http://www.efinancialnews.com/index.cfm?...>, March 29, 2004, 1 page.
Ostrovsky, Arkady, June 28, 2000, "Working towards a seamless link: GLOBAL PROTOCOL by Arkady Ostrovsky: A project aimed at automating the flow of information across the entire derivatives network will deal initially interest rate swaps, with other products being incorporated into the standard over time [Surveys edition]", Financial Times, London, June 28, 2000, p. 4, obtained at the internet address: <http://proquest.umi.com/pqdlink?index=1&sid=1&srchmode=1&vinst=PROD&fmt=3&sta...>, March 25, 2004, 3 pages.
Looksmart, Business Wire, November 7, 2000, "onExchange Selects Exodus to Host Online Derivatives Exchange; Leading Online Derivatives Exchange Optimizes Network Performance by Selecting Leader in Complex Internet Hosting", obtained at the internet address: <http://www.findarticles.com/cf_0/m0EIN/2000_Nov_7/66657629/p1/article.jhtml>, March 25, 2004, 3 pages.
Wall Street & Technology Online, September 11, 2003, "Electronic Trading Newsflashes", obtained at the internet address: <http://www.wstonline.com/printableArticle/;jsessionid=ILJHDTNYRJNVMQSNDBCCKHY?doc_id=14...>, December 5, 2003, 1 page.
Wall Street & Technology Online, December 4, 2003, "Electronic-Trading Newsflashes", obtained at the internet address: <http://www.wstonline.com/printableArticle/;jsessionid=NA3QPNTTNKCOIQSNDBCCK...>, December 5, 2003, 2 pages.
Sungard, "InTrader – The integrated, real-time solution for bank treasury and portfolio management", Sungard Securities Processing publication, 2003, 2 pages.
Network Magazine India, "Case Study: BSE implements intelligent switching architecture – Combining networks intelligently", obtained at the internet address: <http://www.expresscomputeronline.com/cgi-bin/ecprint/MasterPFP.cgi?doc=>, December 5, 2003, 3 pages.
Network Magazine India, "BSE The Stock Exchange, Mumbai – Network Diagram", obtained at the internet address: <http://www.networkmagazineindia.com/200302/20030206bse.jpg>, December 5, 2003, 1 page.
Orc Software, "ExNet Network", obtained at the internet address: <http://www.orcsoftware.com/Products/ExNetPIPNetwork.htm>, December 5, 2003, 1 page.
Orc Software, "Orc Futures", obtained at the internet address: <http://www.orcsoftware.com/Products/OrcFutures.htm>, December 5, 2003, 1 page.
Orc Software, "Orc Liquidator", obtained at the internet address: <http://www.orcsoftware.com/Products/OrcLiquidator.htm>, December 5, 2003, 1 page.
Orc Software, "Orc Technology", obtained at the internet address: <http://www.orcsoftware.com/Technology/index.htm>, December 5, 2003, 2 pages.
Orc Software, "Chicago Board of Trade certifies Orc Software for its electronic trading platform", obtained at the internet address: <http://www.orcsoftware.com/Company/PNR/PNR_031106_e-cbot_eng.htm>, December 5, 2003, 2 pages.
JMB Silicon Valley Biz Ink, "NYFIX, Inc. Routes OTC Orders to American Stock Exchange", obtained at the internet address: <http://www.prnewswire.com/cgi-bin/stories.pl?ACCT=SVBIZINK3.story&STORY=/www/story/11-24-2...>, December 5, 2003, 2 pages.

OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
Sungard, "Panorama EQN", obtained at the internet address: <http://www.sungard.com/products_and_services/stars/panorama/solutions/panoramaotcdistribution.htm>, December 5, 2003, 2 pages.
Sungard, "Trading and Decision Support", obtained at the internet address: <http://www.sungard.com/products_and_services/stars/panorama/solutions/panoramatrading.htm>, December 5, 2003, 5 pages.
Sungard, "Single Integrated Architecture", obtained at the internet address: <http://www.sungard.com/products_and_services/stars/panorama/solutions/panoramatechnologyandinfrastr...>, December 5, 2003, 3 pages.
Trading Technologies International, Inc., "X_Trader TT Net", obtained at the internet address: <http://www.tradingtechnologies.com/blue_net.html>, December 5, 2003, 1 page.
Trading Technologies International, Inc., "X_Trader Platform", obtained at the internet address: <http://www.tradingtechnologies.com/blue_xtrader.html>, March 18, 2004, 3 pages.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

James L. Katz
Registration No. 42,711
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200